

## NCI Help Sheet

### What does this mean for me?

Under the current MiFID II legislation, organisations are required to confirm their customer's nationality and national client identifier (NCI). This is to enable firms to accurately report deals completed to their local regulator, which for us is the Financial Conduct Authority. For most UK customers, the NCI is your national insurance number. For non-UK and multi-nationality customers there will be a specific NCI per country. For those who act on behalf of other customers, such as Power of Attorney, we are required to collect the NCI of the person who makes decisions on the account, as well as the underlying account owner.

### Why do I need to confirm this information?

This information is required to ensure the FCA can monitor dealing patterns and identify any market abuse. The use of NCI numbers ensures reporting is consistent across all investing platforms and stockbrokers. Therefore, where this data is outstanding, you will need to provide your NCI, to be able to continue dealing with us. If customers do not provide this information where necessary, it could prevent trading or delay any investments until this information is in place.

### What is my NCI?

The table below shows what NCI information is needed based on your nationality. All registered holders will need to provide this information. If your nationality is not shown on the list below, please go to <https://www.signalshares.com/help-centre/> and select Help followed by Data Protection (GDPR) & MiFID and Expand all.

- If you are dual national, you should provide the nationality which comes first alphabetically under the 'Code' column.
- If you are acting as Power of Attorney you will need to provide the NCI of both the registered holder(s) and of the Power of Attorney.
- For deceased holders, only the registered holder information needs to be provided (not the Executor(s) or Representative(s)).

<u>Code</u>	<u>Country</u>	<u>National Client Identifier</u>
AT	Austria	Not required – write "Not Required"
BE	Belgium	Belgian National Number (Numéro de registre national – Rijksregisternummer) or if not issued write "Not Issued"
BG	Bulgaria	Bulgarian Personal Number or if not issued write "Not Issued"
CY	Cyprus	National Passport Number or if not issued write "Not Issued"
DE	Germany	Not required – write "Not Required"
DK	Denmark	Personal identity code 10 digits alphanumerical: DDMMYYXXXX or if not issued write "Not Issued"
ES	Spain	Tax identification number (Código de identificación fiscal)
FI	Finland	Personal identity code or if not issued write "Not Issued"
FR	France	Not required – write "Not Required"
GB	United Kingdom	UK National insurance number or if not issued write "Not Issued"
GR	Greece	10 DSS digit investor share or if not issued write "Not Issued"

<u>Code</u>	<u>Country</u>	<u>National Client Identifier</u>
HU	Hungary	Not required – write "Not Required"
IE	Ireland	Not required – write "Not Required"
IT	Italy	Fiscal code (Codice fiscale)
LU	Luxembourg	Not required – write "Not Required"
MT	Malta	National Identification Number or if not issued National Passport Number
NL	Netherlands	National Passport Number or if not issued National identity card number or if not issued write "Not Issued"
NO	Norway	11 digit personal id (Foedselsnummer) or if not issued write "Not Issued"
PL	Poland	National Identification Number (PESEL) or if not issued Tax Number (Numer identyfikacji podatkowej)
PT	Portugal	Tax number (Número de Identificação Fiscal) or if not issued National Passport Number or if not issued write "Not Issued"
SE	Sweden	Personal identity number or if not issued write "Not Issued"
SK	Slovakia	Personal number (Rodné číslo) or if not issued National Passport Number or if not issued write "Not Issued"
-	Rest of the world	National Passport Number or if not Issued write "Not Issued"